

**SAM – INFORMATION TECHNOLOGY
(California Department of Technology)**

CERTIFICATION OF COMPLIANCE WITH POLICIES

4832

(Revised 9/2014)

The SAM Section 4819.41 specifies that signed certifications of compliance with the state's information technology policies must be included with the transmittal of certain procurement packages to the procurement agency or authority. The required format of the certification is provided in [SAM Section 4832, Illustration 1](#).

Signature Authority Certifications for procurements of \$100,000 or more MUST be signed by the agency director or by a member of agency management specifically designated by the director for this purpose.

As shown in 4832 Illustration 1, the certification must reference one of the following with respect to the justification and approval of the proposed procurement:

1. If the procurement is the result of a Department of Technology-approved Feasibility Study Report (FSR), the project is currently under development, and the Post-Implementation Evaluation Report (PIER) has not yet been approved, provide the project number, the title, and approval date of the FSR. If the procurement is the result of an agency-approved FSR, provide the agency project number, the title, and approval date of the FSR.
2. If the procurement is an Interagency agreement to procure services from a consolidated data center in support of multiple projects, it must be certified that: (1) the funding level is appropriate for the nature and scope of the services to be supplied; (2) the services are consistent with approved FSRs and/or PIERs; and (3) project reporting for the various projects is current.

Submission of an FSR to the Department of Technology or to the agency director does not constitute project approval. Approval requires an approval letter from the Department of Technology or, for delegated projects, a document indicating approval by the agency director or the director's designee.

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SAM – Information Technology

Certification Requirements

CERTIFICATION OF COMPLIANCE WITH POLICIES PURSUANT TO SAM SECTIONS 4819.41 AND 4832

I hereby certify that I am the agency director or designee; that the matters described herein are in compliance with the criteria and procedures for information technology prescribed in SAM; any acquisitions of new or enhanced information technology capabilities are consistent with project justification approved by the California Technology Agency, myself or my designee; and that the foregoing statements are true to the best of my knowledge and belief.

(Date)

Signature and Title
(Indicate director or designee)

JUSTIFICATION AND APPROVAL REFERENCE INFORMATION

_____ Technology Agency approved FSR	_____ Technology Agency Project #	_____ Approval Date
_____ Agency approved FSR	_____ Agency Project #	_____ Approval Date
_____ DMCP	_____ DMCP #	_____ Approval Date
	_____ Project Title	
_____ Data Center IAA	This is an interagency agreement to procure services from a consolidated data center it involves multiple projects, the funding level is appropriate, and the nature and scope of services to be supplied by the data center are consistent with the various approved FSRs and PIERs of this agency, and the required project reporting associated with each active project is current.	